

4th A6IP Working Group on Tracking and Reporting

30 October 2024



Tracking guidance



❑ Decision 2/CMA.3, annex:

- **Para 4** (Chapter II): Each participating Party shall ensure that:
 - [...] (d) It has arrangements in place that are consistent with this guidance and relevant decisions of the CMA **for tracking ITMOs**;
- **Para 29** (Chapter VI): **Each participating Party shall have, or have access to, a registry for the purpose of tracking** and shall ensure that such registry records, including through unique identifiers, as applicable, authorization, first transfer, transfer, acquisition, use towards NDCs, authorization for use towards other international mitigation purposes, and voluntary cancellation (including for overall mitigation in global emissions, if applicable), and shall have accounts as necessary.

❑ Decision 2/CMA.3, annex:

- **Para 30** (Chapter VI): : The secretariat shall implement an international registry for participating Parties that do not have or do not have access to a registry. **The international registry shall be able to perform the functions set out in paragraph 29 above.** Any Party may request an account in the international registry.
- **Para 31** (Chapter VI): The international registry shall be part of the centralized accounting and reporting platform referred to in chapter VI.C below (Centralized accounting and reporting platform).;

Tracking under 6 CMA 4, annex I (Recording and tracking)

❑ Decision 6/CMA.4, annex I:

- **Para 1** (Chapter I): Each Party participating in a cooperative approach referred to in Article 6, paragraph 2, shall have, or have access to, a registry for the purpose of tracking that:
 - a) Has accounts for ITMOs, as necessary;
 - b) Records the actions relating to ITMOs**, including authorization, first transfer, transfer, acquisition, use towards NDCs, authorization for use towards other international mitigation purposes and voluntary cancellation [...];
 - c) Tracks, maintains records and accounts for ITMOs**, including through unique identifiers;
 - d) [...]
 - e) Produces, maintains and compiles records, information and **data consistently with the annual information** submitted in the agreed electronic format.
 - f) Electronic arrangements and other technical and administrative arrangements for registries **shall be based on software that enables tracking and recording of ITMOs**. [...].



Tracking under 6 CMA 4, annex I (Recording and tracking)

❑ Decision 6/CMA.4, annex I:

- **Para 3.** Each participating Party shall track and record ITMOs from a cooperative approach **consistently during the NDC implementation period.**
- **Para 4:** ITMOs shall be uniquely identified in a way that renders **ITMOs traceable to the mitigation outcome(s) represented.**
- **Para 5: Each ITMO shall have a unique identifier.** The unique identifier for each ITMO shall comprise at the minimum:
 - a) The identifier of the cooperative approach;
 - b) The identifier of the originating Party registry;
 - c) The identifier of the first transferring Party;
 - d) The serial number;
 - e) The vintage of the underlying mitigation outcome.
- **Para 6:** ITMOs can be tracked and **reported in blocks.**



Tracking under 6 CMA 4, annex I (Recording and tracking)

❑ Decision 6/CMA.4, annex I:

Actions relating to registries

- **Para 7:** Each participating Party shall ensure that the registry records information and data on authorization, first transfer, transfer, acquisition, use towards NDCs, authorization for use towards other international mitigation purposes and voluntary cancellation (including for overall mitigation in global emissions, if applicable).
- **Para 8:** Each participating Party shall, in implementing its registry, achieve data integrity in tracking and recording ITMOs and **enable reporting consistent with the agreed electronic format**.

Actions relating to the International Registry

- **Para 15:** The international registry shall, for the purpose of **tracking and recording ITMOs**, comprise an electronic database and other technical and administrative arrangements and support the accounts and perform the operations set out in paragraph 1 above.
- **Para 16:** **Accounts shall enable the tracking and recording** of information in relation to **the ITMO actions** they record.



Tracking under 3 CMA 3, annex

❑ Decision 3/CMA.3, annex:

Mechanism registry

- **Para 63:** The mechanism registry shall contain at least a pending account, holding account, retirement account, cancellation account, account for cancellation towards overall mitigation in global emissions and a share of proceeds for adaptation account, as well as a holding account for each Party and each public or private entity authorized per Article 6, paragraph 4(b), by a Party that requests an account where that entity meets the requisite identification requirements developed by the Supervisory Body. **The mechanism registry shall be connected to the international registry** referred to in decision 2/CMA.3.
- **Para 64:** The mechanism registry shall be developed and operationalized in accordance with the relevant requirements adopted by the Supervisory Body that shall include operating at best practice standards for registries.
- **Para 65:** The secretariat shall serve as the mechanism registry administrator and maintain and operate the mechanism registry under the supervision of the Supervisory Body.



Tracking under 7 CMA 4, annex I

❑ Decision 7/CMA.4, annex I:

A. Forms and functions

➤ Para 29: The mechanism registry **shall track**:

- a) **A6.4ERs authorized** for use towards achievement of NDCs and/or for other international mitigation purposes pursuant to paragraph 42 of the RMPs (authorized A6.4ERs);
- b) **A6.4ERs not specified as authorized** for use towards achievement of NDCs and/or for other international mitigation purposes (mitigation contribution A6.4ERs), which may be used, inter alia, [...].

B. Transaction procedure

- **Para 35:** The mechanism registry shall perform issuance, forwarding, **first transfer, transfer**, cancellation, voluntary cancellation and retirement of A6.4ERs or, where applicable, of CERs transferred to the mechanism registry in accordance with paragraph 75 of the RMPs.
- **Para 36:** A transaction that meets the definition of first transfer pursuant to paragraph 2 of the annex to decision 2/CMA.3 and relevant decisions of the CMA **shall be distinguished as a first transfer** in the mechanism registry.



Interoperability



Interoperability 6.2

❑ Decision 6/CMA.4, annex I:

Interoperability in registries

- **Para 9:** Where participating Party registries are interoperable, the Parties participating in a cooperative approach shall, **for the purpose of transferring ITMOs, implement appropriate standards and procedures to mitigate risks to the consistency of data**, including through communication of data about the transfer and reconciliation procedures within and between registries.
- **Para 10:** Interoperability of registries should be implemented in such a way that neither **Party to an inter-registry transfer could later repudiate the existence**, type, time or content of the transfer.

Interoperability in the international registry

- **Para 23:** Pursuant to decision 3/CMA.3, annex, paragraph 63, the mechanism registry is connected to the international registry. **The connection** of the mechanism registry to the international registry shall, at a minimum, **enable the functions referred to in paragraphs 9–10 above** and be implemented in accordance with future decisions of the CMA.
- **Para 24:** **A participating Party may connect its registry to the international registry**. Such connections shall apply the interoperability arrangements applicable to all registries as described in chapter I.B above and other relevant future decisions of the CMA.



Interoperability 6.4

❑ Decision 7/CMA.4, annex I:

Connection with the international registry

- **Para 49:** Pursuant to paragraph 63 of the RMPs, the mechanism registry shall be connected to the international registry. **The connection shall allow for automated pulling and viewing of data and information** on holdings and the action history of authorized A6.4ERs for use by participating Parties that have an account in the international registry.



Open issue



Draft decision -/CMA.6

- **Main issue:** Does the functionality allow for inter-registry transfer and pulling and viewing, or only pulling and viewing.
- **Options on the text:**
 - **Option 1:** Enable transfers of ITMOs between registries.
 - **Option 1A:** Add the **possibility of transfer of A6.4ERs** [and other ITMOs] to the international registry [and Party registries] *{No constraints}*
 - **Option 1B:** Add possibility to connect and **transfer to Party registries only** *{International registry is only Accounting but Transfer between Party registries are allowed}*
 - **Option 2:** Transfer to a **Party-specific extension of the international registry** that functions as part of the Article 6, paragraph 4 mechanism registry are allowed.
 - **Option 3:** International registry will enable pull and view **but no transfer of A64 ERs, or any additional functionality.**
 - **Option 4:** *{No text required}*



Thank you!

