

18 October 2023

2nd Reporting Working Group

Article 6 Reporting Training Material (Draft)

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Proposed Reporting Capacity Building Tools

Initial Report

(6/ CMA.4 Annex V.)

- Illustrative texts and guidance
- Determining level of detail for reporting
- Examples from submitted countries
- Knowledge and information exchange

Annual Information

Draft AEF

(6/ CMA.4 Annex VII.)

- Exercises to trial reporting
- Improvement of the draft AEF

Regular Information

Outline

(6/ CMA.4 Annex VI.)

- Illustrative texts
- Guidance
- Examples
- Lesson learned

Material Outline for Reporting CB Tools

Background of Reporting in Art. 6

- Negotiation Decision
- Reporting Obligation
- Linkages between ETF and Art.6
- Overview of reporting

What to report

- Understanding of information required to be reported
- Identifying source of information;
 - What is readily available
 - Where is information?
 - What information requires policy consideration/ decision-making?

How to report

- What is process within the country to formulate and have the report approved and submitted? – Institutional Arrangement

When to report (Timeline)

- Initial Reports
- Annual Information
- Regular Information

Where to report

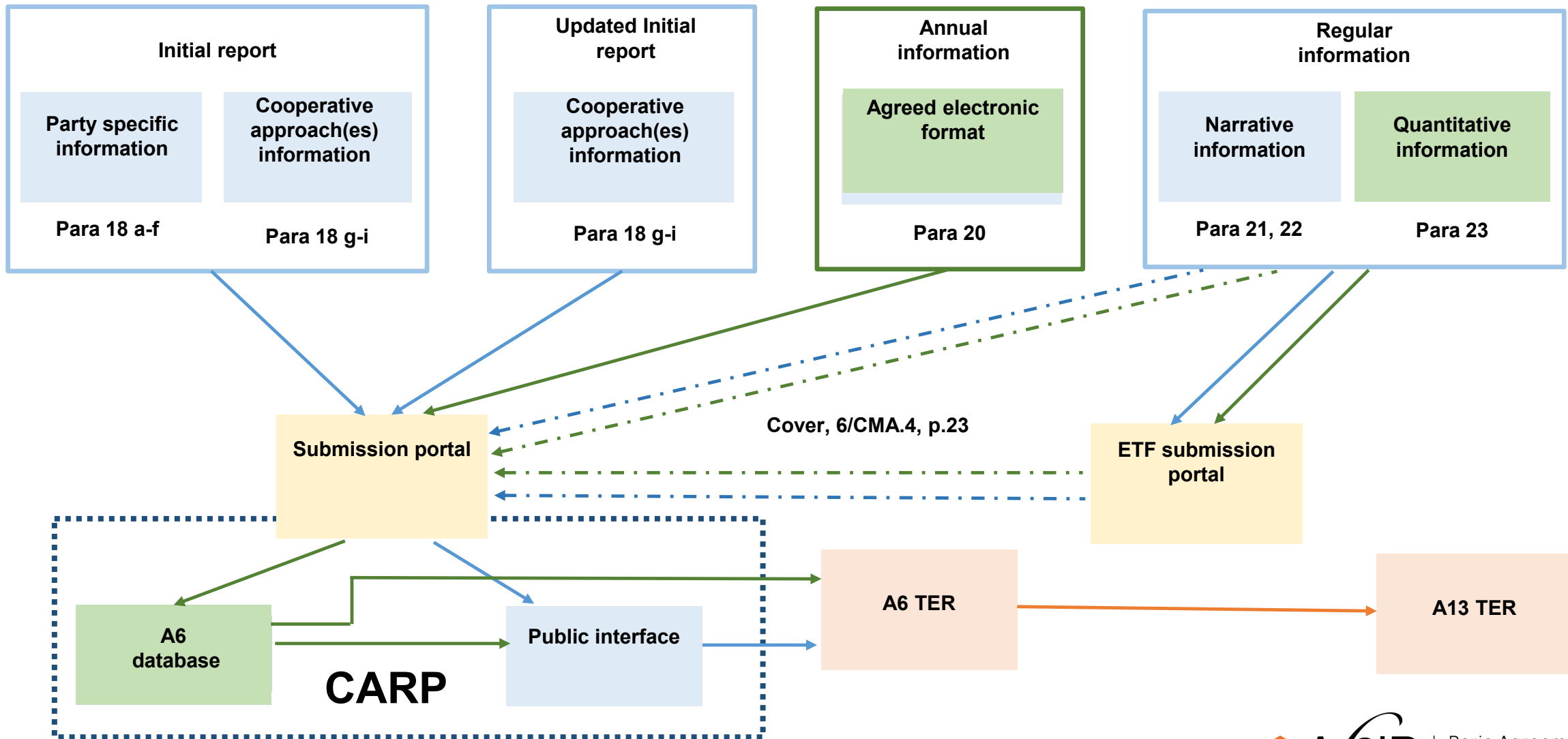
- Centralized Accounting and Reporting Platform - CARP (interim solution)

Support Drafting Exercise

- Learn from examples
- Enhance learning/ capacity with other tools

Principle → Transparency, Accuracy, Completeness, Consistency, and Comparability (TACCC)

Reporting Process for Article 6.2



Sample of Report Capacity Building Tools

Sections in Initial Report



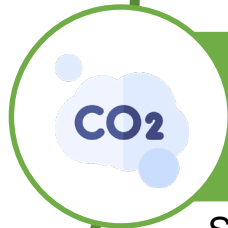
Participation Responsibilities

Submitted once for each NDC period



Description of Party's NDC

Submitted once for each NDC period



Information on ITMO Metrics, Method for applying CA, Method for quantification of the NDC

Submitted once for each NDC period



Information on each cooperative approach

Submitted for each cooperative approach

Outline for the initial report and updated initial report referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report)^{1, 2, 3}

[English only]

Party	Party name
NDC period	yyyy - yyyy
Report number for the NDC period ^a	1
Report type	
Initial report	<input type="checkbox"/>
Updated initial report	<input type="checkbox"/>
Updated initial report number	1
Version ^b	1.0
Date	dd/mm/yyyy
Name(s) of cooperative approach(es) included in this report <i>(Include a line for each additional cooperative approach)</i>	Cooperative approach 1 Cooperative approach 2

^a Ascibe sequential number for updated initial reports. The number '1' is reserved for the initial report.

^b Ascibe version number as follows: decimal increase for minor revisions (typos, corrections) and digit increase for content changes.

Note: For updated initial report fill in only section IV. Information on each cooperative approach (para. 18(g)-(i), para. 19 of the annex to decision 2/CMA.3).

I. Participation responsibilities (para. 18(a))

A. Information on how the Party ensures that it is a Party to the Paris Agreement (para. 18(a), para. 4(a), to be updated by para. 21(a))

B. Information on how the Party ensures that it has prepared, communicated and is maintaining an NDC in accordance with Article 4, paragraph 2 (para. 18(a), para. 4(b), to be updated by para. 21(a))

C. Information on how the Party ensures it has arrangements in place for authorizing the use of ITMOs towards achievement of NDCs pursuant to Article 6, paragraph 3 (para. 18(a), para. 4(c), to be updated by para. 21(a))

¹ This template is for voluntary use to facilitate the preparation and submission of the initial reports and updated initial reports referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report).

² The list of the acronyms and abbreviations used in this template are available in decision 6/CMA.4: <https://unfccc.int/documents/624474>.

³ References to chapters and paragraphs in the outline are to chapters and paragraphs in the annex to decision 2/CMA.3, unless stated otherwise. "Article" refers to an Article of the Paris Agreement.

Example

a

Information on how the Party ensures that it is a Party to the Paris Agreement (para. 18(a), para. 4(a), to be updated by para. 21(a))

Illustrative text !!!

- Information on the deposition of its instrument of ratification of the Paris Agreement on #date# and a confirmation that it has not withdrawn from the Paris Agreement. The Party provide a weblink ###

Wakanda ratified the Paris Agreement on **Wakanda** and continues to be a Party.

Example

b

Information on how the Party ensures that it has prepared, communicated and is maintaining an NDC in accordance with Article 4, paragraph 2 (para. 18(a), para. 4(b), to be updated by para. 21(a))

*- An extract of and a link to its most recent NDC in the NDC Registry or attaches NDC to its report.
- Link to latest NDC in the NDC registry*

Illustrative text !!!

Wakanda communicated the updated NDC on **02/10/2020**. **Wakanda** continues to implement the updated NDC. **Wakanda**'s NDC is available online at **www.wakanda4Climate.int**

Example

[1. Status of Ratification of Paris Agreement](#)

[2. NDC Submission Registry](#)

Information can be looked up here



[Process and meetings](#) > [The Paris Agreement](#)

Paris Agreement - Status of Ratification.


195 Parties out of 198 Parties to the Convention are Parties to the Paris Agreement.



On 5 October 2016, the threshold for the entry into force of the Paris Agreement was achieved.

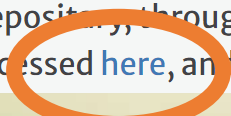
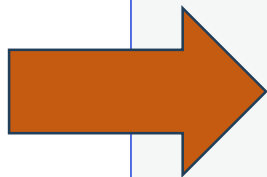
The Paris Agreement entered into force on 4 November 2016, thirty days after the date on which at least 55 Parties to the Convention accounting in total for at least an estimated 55 % of the total global greenhouse gas emissions have deposited their instruments of ratification, acceptance, approval or accession with the Depository.

Authoritative information on the **status of the Paris Agreement**, including information on signatories to the Agreement, ratification and entry into force, is provided by the Depository, through the United Nations Treaty Collection website, which can be accessed [here](#), and the Depository Notifications which are available [here](#).

[Authentic texts of the Paris Agreement](#) 

[First nationally determined contribution](#) 

 [Content](#) 





NDC Registry.

In accordance with Article 4, paragraph 12 of the Paris Agreement, NDCs communicated by Parties shall be recorded in a public registry maintained by the secretariat.



Credit: Axel Fassio/CIFOR

Showing 15 of 195 results

Party	Title	Language	Translation	Version	Status	Submission Date	Additional documents
 Afghanistan	Afghanistan First NDC	English		1	Active	23/11/2016	
 Albania	Albania First NDC (Updated submission)	English		2	Active	12/10/2021	
 Algeria	Algeria First NDC	French	Algeria First NDC Translation	1	Active	20/10/2016	
 Andorra	Andorra 2022 NDC Update	Spanish		3	Active	08/11/2022	Andorra 2022 NDC Update (Draft)
 Angola	Angola First NDC (Updated submission)	English		2	Active	31/05/2021	
							

Example (cont.)

C Information on how the Party ensures it has arrangements in place for authorizing the use of ITMOs towards achievement of NDCs pursuant to Article 6, paragraph 3 (para. 18(a), para. 4(c), to be updated by para. 21(a))

Explanation on arrangements in place for the authorization of ITMOs such as

1. Who's the authorized authority for authorization?
2. What's the process?
3. Reference to any relevant procedural document

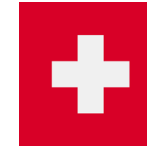
Illustrative text !!!

Example from Ghana IR:

The Ministry of Environment, Science, Technology and Innovation (MESTI) is **the mandated national entity for authorizing the use of ITMOs** acting through the Carbon Market Office (CMO) at the Environmental Protection Agency (EPA). MESTI's mandate as the authorization entity is included in the part 4 of the Framework and Article 13 of the Ghana-Switzerland Bilateral Agreement in pursuit of Article 6 Paragraph 1 of the Paris Agreement. The framework and the bilateral agreement also incorporate the authorization procedures for Ghana.

Example

Reference: Authorization Letters



AUTHORIZATION STATEMENT

MINISTRY OF ENVIRONMENT, SCIENCE, TECHNOLOGY, AND INNOVATION
OF THE REPUBLIC OF GHANA

Authorization statement reference number: 111122UNDP/PAWD1001

- As the authorized entity of the Government of the Republic of Ghana, the Ministry of Environment, Science, Technology, and Innovation (MESTI)¹ here now authorizes per Article 6.3 of the *Paris Agreement* and Article 5.1 of the *Cooperation Agreement between the Republic of Ghana and Swiss Confederation towards the Implementation of the Paris Agreement* signed on 23rd November 2020 (hereafter referred to as the *Cooperation Agreement*) the international transfer and use of Internationally Transferred Mitigation Outcomes (ITMOs) specified in this Statement.
- All the relevant provisions regarding the Authorization of transfer and use of ITMOs as specified in Article 5 and Article 6 of the *Cooperation Agreement* apply.
- The quantity of authorized ITMOs covered under this Statement and the Letter of Authorisation (LOA) concurrently issued to the United Nations Development Programme (UNDP) as the authorized participant shall originate from the Mitigation Activity "Promotion of climate-smart agriculture practices for sustainable rice cultivation in Ghana" as provided in the Mitigation Activity Design Document (MADD) in Annex 1.
- Per Article 6.1 of the *Cooperation Agreement*, the conditions in the table below apply to this Authorization:

Authorization element	Notes
Date of the authorization statement	11 th November 2022
Authorized participant	UNDP Country Office, Ghana
ITMO uses authorized	ITMOs are authorized for use towards NDC, other international mitigation purposes and other purposes, including voluntary offsetting, as specified in the authorization statement by the Republic of Ghana and letter of authorization granted to the UNDP Country Office, Ghana
Definition of first transfer	The first transfer, triggering corresponding adjustments by the host Party, is defined by the recognition of the occurred international transfer of an ITMO under the <i>Cooperation Agreement</i> (Article 8)
Authorized mitigation activity	"Promotion of climate-smart agriculture practices for sustainable rice cultivation in Ghana". Applied standards and baseline methodologies, as well as requirements for monitoring and verification, including for contributions to sustainable development, apply as specified in the MADD
Authorized crediting period	1 st June 2022 – 31 st December 2030

¹ According to Article 14.2 of the Implementing Agreement.

[Link to Authorization Statement](#)

Schweizerische Eidgenossenschaft
Confédération suisse
Confederazione Svizzera
Confederaziun svizra

Federal Department of the Environment, Transport,
Energy and Communications DETEC
Federal Office for the Environment FOEN

Business case: BAFU-230.2-40197/532/2511

AUTHORIZATION STATEMENT BY THE FEDERAL OFFICE FOR THE ENVIRONMENT OF THE SWISS CONFEDERATION

Authorization statement reference number: 5001, 11.11.2022

Acting as the authorized entity of the Swiss Government, the Federal Office for the Environment (FOEN)¹ hereby authorizes per Article 6.3 of the *Paris Agreement* and per Article 5.1 of the *Cooperation Agreement between the Swiss Confederation and the Republic of Ghana towards the Implementation of the Paris Agreement* signed on 23rd November 2020 (hereafter referred to as the *Cooperation Agreement*) the international transfer and use of Internationally Transferred Mitigation Outcomes (ITMOs) specified in this statement. The provisions of the *Cooperation Agreement* apply.

- Date of authorization (date of signature of this authorization statement): 11.11.2022
 - Entity authorized (to transfer): UNDP Country Office Ghana
 - ITMO uses authorized: ITMOs are authorized for use towards NDC, other international mitigation purposes and other purposes, including the voluntary offsetting, as specified in the authorization statement by the Republic of Ghana.
 - Definition of first transfer: The first transfer, triggering corresponding adjustments by the host Party is defined by the recognition of the occurred international transfer of an ITMO under the *Cooperation Agreement* (Article 8)
 - Authorized mitigation activity: "Promotion of climate smart agriculture practices for sustainable rice cultivation in Ghana" (project ID number 5001) as determined below and in the annexed Mitigation Activity Design Document (MADD). Applied standards and baseline methodologies as well as requirements for monitoring and verification, including for contributions to sustainable development, apply as specified in the MADD
- Total cumulative maximum amount of Mitigation Outcomes for which international transfer and use is authorized: 1'125'655 of t CO₂e_q
- Authorized crediting period: 1 June 2022 – 31 December 2030
 - NDC period(s) during which the Internationally Transferred Mitigation Outcomes (ITMOs) are authorized for use, as appropriate: 2021 – 2030

¹ According to Article 14.2 of the Implementing Agreement.



BAFU-0840361/389

Federal Office for the Environment FOEN
Compensation Office
3003 Bern
Tel. +41 58 46 538 15
carbonoffice@bafu.admin.ch
<https://www.bafu.admin.ch/emission-reduction-certificates>

[Link to Authorization Statement](#)



Paris Agreement Article 6
Implementation Partnership

Needed Info. for Annual Information

Countries must report annual information from their registries electronically, using an agreed format. The information shall feed into the Art. 6 database, as described below;

The Information to be reported annually includes:

- **Annual Information on authorization of ITMOs** and detail information on any transactions of ITMOs
- For each ITMOs authorization:
 - Cooperative Approach or other international mitigation purpose authorized by the Party
 - First transferring participating Party, as well as any using participating Party or authorized entity or entities.
 - Year of mitigation, sector(s), and activity type(s)
 - Unique identifiers

The Agreed Electronic Format (AEF)

- Is the reporting format for the submission of **disaggregated quantitative information on ITMOs** resulting from the cooperation Parties undertake through Article 6.2 and Article 6.4
- Is a format that is electronic in nature, meaning **it captures digital information** in a non-specified format or formats that are machine readable
- Includes **agreed contents**
- **Will be finalized at COP28**

Action (para 20, A)

- Authorization of ITMOs for use towards achievement of NDCs
- Authorization of ITMOs for use towards OIMP
- First transfer
- Transfer
- Acquisition
- Cancellation
- Voluntary cancellation
- Voluntary cancellation of mitigation outcomes or ITMOs towards OMGE
- Use towards NDCs

ITMO DESCRIPTION (para 20, b)

- The cooperative approach
- The other international mitigation purpose authorized by the Party
- The first transferring participating Party
- The using participating Party or authorized entity or entities, as soon as known
- The year in which the mitigation occurred
- The sector(s) and activity type(s)
- The unique identifiers

The Draft AEF– annex VII of decision 6/CMA.4

Annex VII*

Draft version of the agreed electronic format referred to in decision 2/CMA.3, annex, chapter IV.B (Annual information)

[English only]

Draft version of the agreed electronic format is available digitally at <https://unfccc.int/documents/624366>.

{Required fields are in bold}

Table 1: Heading

Party	Party
Reported year	Year

^a The annual period from 1 January to 31 December during which actions occurred.

Table 2: Actions

Article 6 database record ID	Cooperative approach ^a	Unique identifier				ITMO			ITMO details			
		First unique identifier^b	Last unique identifier^c	Underlying unit block start ID ^d	Underlying unit block end ^e	Metric^f	Quantity (expressed in metric) ^g	Quantity (t CO₂ eq)	Conversion factor (reporting Party) ^h	First transferring participating Party^j	Vintage ^l	Sector(s) ^k
	Cooperative approach										Energy	
	Article 6.4 mechanism										IPPU	
											AFOLU	
											Waste	

What each column means?

What kind of information country needs to prepare?

How to put information?

Mock up test in AEF

Needed Info. for Regular Information?

Required Information for Regular Information

The Information to be included in the BTR consists of:

1. How a Party is fulfilling the participation responsibilities
2. Updates to the information provided in its initial report
3. Authorizations for the use of ITMOs
4. How the selected corresponding adjustments ensure that double counting is avoided, are representative of progress towards implementation and achievement of the country's NDC, and do not lead to a net increase in emissions
5. How the country has ensured that the selected ITMOs will not be further transferred, further cancelled or otherwise used
6. How each cooperative approach in which the country participates contributes to the mitigation of GHGs and the implementation of its NDC, and ensure environment integrity

Required Information for BTR

The Modalities, Procedures and Guidelines of the ETF specify the content of the BTR and cover detailed guidelines on:

- National Circumstances and institutional arrangement
- National Inventory Report
- Description of NDC and any updates; target and description, target year or period, reference point (base year), scope and coverage, and use of cooperative approaches
- Information necessary to track progress made in implementing and achieving the NDC; mitigation policies and measures, including those with mitigation co-benefits resulting from adaptation actions
- Information related to climate change impacts and adaptation
- Information on financial support, technology development and transfer as well as capacity building support needed and received or provided and mobilized
- How flexibility options are chosen (constraints or improvement?)

Example of Regular Information Template

B

Description of how the cooperative approach ensures environmental integrity (para. 22(b), update to para.18 (h)(i))

1. Description of how the cooperative approach ensures that there is no net increase in global emissions within and between NDC implementation periods (para. 22(b)(i), update to para. 18(h)(i))

Explanation on each cooperative approach **will not lead to a net increase in global emissions** within and between its NDC implementation period, including **what measures have been taken to ensure a reduction in actual emissions** or, in any event, **to avoid an increase in emissions over time.**

Illustrative text !!!

ITMOs from this cooperative approach will be eligible for international transfer and use towards NDC when achieved within the first NDC implementation period of Wakanda. These ITMOs can only be used towards and NDC of the same NDC implementation period (until 2030). **This ensures that there cannot be a net increase in global emissions between NDC implementation periods.**

Example

Process/Schedule to develop the training materials

Process	September				October				November				December				January			
Develop 1 st Draft																				
Share and discuss at 2 nd Reporting WG	<div style="text-align: center;"> <p>2nd Reporting WG</p> </div>																			
Reflect on feedbacks and develop 2 nd draft																				
Share for external inputs																				
Reflect on feedbacks and develop 3 rd draft																				
Present/ Discuss at COP28	<div style="text-align: center;"> </div>																			
Reflect on feedback and develop final draft																				

Thank you for your attention

Any questions

Contact: a6_partnership@iges.or.jp

Website: <https://a6partnership.org>

