



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra

Federal Department of the Environment, Transport,  
Energy and Communications (DETEC)  
**Federal Office for the Environment FOEN**

# Preparation of initial reports under Article 6

**Felix Schmidt**, Federal Office for the Environment  
2<sup>nd</sup> Reporting Working Group, A6IP, Oct 18<sup>th</sup> 2023



# Switzerland's initial report(s) on Article 6

## Ghana

5001 Promotion of climate smart agriculture practices for sustainable rice cultivation in Ghana

Authorization Statement (LoA) (PDF, 212 kB, 12.11.2022)

MADD "Promotion of climate smart agriculture practices for sustainable rice cultivation in Ghana" (project ID number 5001) (PDF, 1 MB, 11.11.2022)

Validation Report (PDF, 327 kB, 11.11.2022)

Preliminary Initial Report (PDF, 357 kB, 11.11.2022) ←



## Thailand

5002 Operation of e-buses on privately owned, scheduled public bus routes in the Bangkok Metropolitan area by Energy Absolute

Authorization Statement (PDF, 208 kB, 27.02.2023)

MADD "Operation of e-buses on privately owned, scheduled public bus routes in the Bangkok Metropolitan area by Energy Absolute" (project ID number 5002) (PDF, 4 MB, 27.02.2023)

Validation Report (PDF, 523 kB, 27.02.2023)

Updated Initial Report (PDF, 247 kB, 27.02.2023) ←



## Vanuatu

5005 Solar power electrification programme

Authorisation Statement (PDF, 199 kB, 19.06.2023)

MADD Vanuatu – Solar Power Electrification Programme (project ID number 5005) (PDF, 3 MB, 19.06.2023)

Validation Report (PDF, 478 kB, 19.06.2023)

UNFCCC: Switzerland's initial report Article 6.2 ↗ ←



- 10 bilateral agreements signed
- Three authorized cooperative approaches under Article 6
- (preliminary) initial reports published with every authorisation
- First initial report submitted to UNFCCC in May 2023



# 'From preliminary to submitted'

## Decision 2/CMA.3 / Annex IV

## Ad hoc template

## UNFCCC template

## Submitted document

### IV. Reporting

#### A. Initial report

18. Each participating Party shall submit an Article 6, paragraph 2, initial report (hereinafter referred to as an initial report) no later than authorization of ITMOs from a cooperative approach or where practical (in the view of the participating Party) in conjunction with the next biennial transparency report due pursuant to decision 18/CMA.1 for the period of NDC implementation. The initial report shall contain comprehensive information to:

(a) Demonstrate that the participating Party fulfils the participation responsibilities referred to in chapter II above (Participation);

(b) Provide, where the participating Party has not yet submitted a biennial transparency report, the information referred to in paragraph 64 of the annex to decision 18/CMA.1;

(c) Communicate the ITMO metrics and the method for applying corresponding adjustments as per chapter III.B above for multi- or single-year NDCs that will be applied consistently throughout the period of NDC implementation and where the method is a multi-year emissions trajectory, trajectories or budget, describe the method;

(d) Quantify the Party's mitigation information in its NDC in t CO<sub>2</sub> eq, including the sectors, sources, GHGs and time periods covered by the NDC, the reference level of emissions and removals for the relevant year or period, and the target level for its NDC; or, where this is not possible, provide the methodology for the quantification of the NDC in t CO<sub>2</sub> eq;

(e) Quantify the NDC, or the portion in the relevant non-GHG indicator, in a non-GHG metric determined by each participating Party, if applicable;

(f) For a first or first updated NDC consisting of policies and measures that is not quantified, quantify the emission level resulting from the policies and measures that are relevant to the implementation of the cooperative approach and its mitigation activities for the categories of anthropogenic emissions by sources and removals by sinks as identified by the host Party pursuant to paragraph 10 above, and the time periods covered by the NDC;

(g) Provide, for each cooperative approach, a copy of the authorization by the participating Party, a description of the approach, its duration, the expected mitigation for each year of its duration, and the participating Parties involved and authorized entities;

#### SWITZERLAND: Preliminary Initial Report (Article 6, paragraph 2, of the Paris Agreement)

Switzerland is pleased to present its preliminary initial report under the Article 6, paragraph 2, of the Paris Agreement (hereafter referred to as "initial report"), consistent with decision 2/CMA.3.

<b>(2/CMA.3, paragraph 18 of annex, letter a)</b> <b>Demonstration of fulfilment of the participation responsibilities referred to in chapter II (Participation) of the annex of decision 2/CMA.3</b>	
<b>(2/CMA.3, paragraph 3 of annex)</b> Consistency of Switzerland's participation in the cooperative approach, the authorization, transfer and use of ITMOs with 2/CMA.3 and relevant decisions of the CMA and application of 2/CMA.3 to all corresponding adjustments and cooperative approaches in which Switzerland participates	Switzerland concludes international Agreements <sup>1</sup> (hereafter referred to as "bilateral Agreements") governing Switzerland's cooperation under the Article 6.2 of the Paris Agreement. The bilateral Agreements ensure consistency of the cooperation with the Paris Agreement, its Articles 4, 6, and 13 and the relevant decisions, and govern the implementation of the Article 6.2 cooperation. The bilateral Agreements remain in force at least until the end of 2034, ensuring consistency with the Paris Agreement provisions throughout NDC implementation periods. The current national climate legislation <sup>2</sup> of Switzerland requires all ITMOs to be recognized under a bilateral Agreement of Switzerland. Once the modalities for Article 6.4 of the Paris Agreement will be operational, ITMOs from the Article 6.4 mechanism are foreseen to be recognized in the national legislation of Switzerland, potentially with enhanced eligibility criteria.
<b>(2/CMA.3, paragraph 4 of annex, letter a)</b> Party to the Paris Agreement.	Switzerland has ratified the Paris Agreement on 6 October 2017 and is a Party to the Paris Agreement.
<b>(2/CMA.3, paragraph 4 of annex, letter b)</b> An NDC has been prepared, communicated and is maintained in accordance with Article 4, paragraph 2.	Switzerland has submitted the latest version of its updated NDC on 17 December 2021. <sup>3</sup> Switzerland's national CO <sub>2</sub> Acts (2022-24 in force; 2025-2030 <sup>4</sup> under Parliamentary debate) anchor Switzerland's emission reduction targets and define domestic measures.

#### Outline for the initial report and updated initial report referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report)

Note: References to paragraphs in the outline below are to paragraphs in the annex to decision 2/CMA.3. "Article" refers to an Article of the Paris Agreement.

#### I. Participation responsibilities

##### A. Demonstration in relation to the Party's responsibility to ensure that it is a Party to the Paris Agreement (para. 18(a), para. 4(a), to be updated by para. 21(a))

[Illustrative elements of information Party may wish to provide:

- Information on the deposition of its instrument of ratification of the Paris Agreement on #date# and confirmation that it has not withdrawn from the Paris Agreement. The Party provides a weblink to further information #weblink#.]

[Illustrative elements of information Party may wish to provide:

- Link to the depositary of the instrument of ratification, acceptance, approval or accession.]

##### B. Information in relation to the Party's fulfilment of its responsibility to ensure that it has prepared, communicated and is maintaining an NDC in accordance with Article 4, paragraph 2 (para. 18(a), para. 4(b), to be updated by para. 21(a))

[Illustrative elements of information Party may wish to provide:

- An extract of and a link to its most recent NDC in the NDC Registry or attaches the NDC to its report. The Party also declares that it is continuing to implement its NDC.]

[Illustrative elements of information Party may wish to provide:

- Link to the latest NDC in the NDC Registry.]

##### C. Information in relation to the Party's arrangements for authorizing the use of ITMOs towards achievement of NDCs pursuant to Article 6, paragraph 3 (para. 18(a), para. 4(c), to be updated by para. 21(a))

[Illustrative elements of information Party may wish to provide:

- Arrangements in place for the authorization of ITMOs, including which authorized authority has been charged with providing authorization and the process for providing such authorization.]

[Illustrative elements of information Party may wish to provide:

- Reference to any relevant procedural, legislative, infrastructural or other arrangements, as applicable.]

#### Initial report referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report)

Party	Switzerland
NDC period	2021-2030
Report type	Initial report <input checked="" type="checkbox"/> Updated initial report <input type="checkbox"/>
Report number for the NDC period	1
Version	1.0
Date	17/05/2023
Names of cooperative approaches included in this report	Promotion of climate smart agriculture practices for sustainable rice cultivation in Ghana Operation of e-buses on privately owned, scheduled public bus routes in the Bangkok Metropolitan area by Energy Absolute Electrification of Vamastu's Inhabited Islands through Solar Power ITMO Programme

#### I. Participation responsibilities (para. 18(a))

A. Information on how the Party ensures that it is a Party to the Paris Agreement (para. 18(a), para. 4(a), to be updated by para. 21(a))

Switzerland has ratified the Paris Agreement on October 6<sup>th</sup> 2017 and is a Party to the Paris Agreement.

B. Information on how the Party ensures that it has prepared, communicated and is maintaining an NDC in accordance with Article 4, paragraph 2 (para. 18(a), para. 4(b), to be updated by para. 21(a))

Switzerland has submitted the latest version of its updated NDC on December 17<sup>th</sup> 2021.<sup>1</sup> Switzerland's national CO<sub>2</sub> Acts (2022-24 in force; 2025-2030<sup>2</sup> under Parliamentary debate) anchor Switzerland's emission reduction targets and define domestic measures.

C. Information on how the Party ensures that it has arrangements in place for authorizing the use of ITMOs towards achievement of NDCs pursuant to Article 6, paragraph 3 (para. 18(a), para. 4(c), to be updated by para. 21(a))

Switzerland's competent authority for authorizing the use of ITMOs is the Federal Department of Environment, Transport, Energy and Communications acting through the Federal Office for the Environment (FOEN). The competence is delegated to the FOEN through the bilateral agreements as well as Switzerland's national CO<sub>2</sub> Act. The bilateral agreements and the national CO<sub>2</sub> legislation include eligibility requirements for the authorizations.

«Version 1.0»



# Switzerland's reported information on Article 6

## Ghana

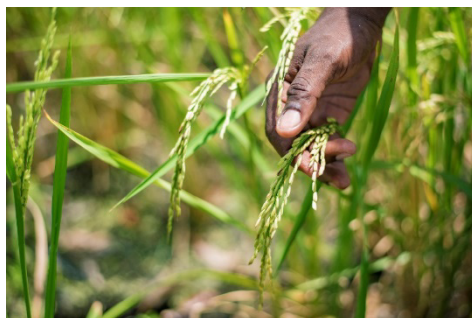
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Business case: BAFU-230.2-60197/3/53/2/3/5/1

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[UNFCC: Switzerland's initial report Article 6.2](#) ←



### AUTHORIZATION STATEMENT BY THE FEDERAL OFFICE FOR THE ENVIRONMENT OF THE SWISS CONFEDERATION

Authorization statement reference number: 5001, 11.11.2022

Acting as the authorized entity of the Swiss Government, the Federal Office for the Environment (FOEN)<sup>1</sup> hereby authorizes per Article 6.3 of the *Paris Agreement* and per Article 5.1 of the *Cooperation Agreement between the Swiss Confederation and the Republic of Ghana towards the Implementation of the Paris Agreement* signed on 23<sup>rd</sup> November 2020 (hereafter referred to as the *Cooperation Agreement*) the international transfer and use of Internationally Transferred Mitigation Outcomes (ITMOs) specified in this statement. The provisions of the *Cooperation Agreement* apply.

- Authorization statement
- MADD and validation report
- (Updated) initial report

Next authorization: initial report Version 1.1



# Challenges for the initial report: general

- **Substantial decisions** that require **internal coordination**:
  - Arrangements for authorizing / tracking ITMOs
  - Method for corresponding adjustment
  - Contribution to ambition in mitigation and adaptation actions
- **Lock in policy decisions** for the entire NDC implementation period
- Reliance on future CMA decisions to answer these questions (e.g. tracking infrastructure)



# Challenges for the initial report: activity specific

- **Little guidance on level of detail** of information required (para 18g-i) Decision 2/CMA.3)
  - *«Describe how each cooperative approach [...]:*
    - *Ensures environmental integrity [...]*
    - *Will reflect the eleventh preambular paragraph of the Paris Agreement»*
- Mandated «manual containing illustrative elements of information» (para 22 Decision 6/CMA.4) will be helpful
- **Coordination with other participating Party** for consistency of information



# Background information

## [Registered compensation projects abroad \(admin.ch\)](#)

- Authorization statements
- Mitigation activity design documents (MADDs)
- Validation reports
- Preliminary initial reports

## [Bilateral climate agreements \(admin.ch\)](#)



**THANK YOU**